STATEMENT OF INTERESTS
State and Local Office Holders, Candidates and Appointees to Such Positions, Non-General Assembly Members

Tennessee Bureau of Ethics and Campaign Finance
WRS Tennessee Tower, 2nd Floor
312 Rosa L. Parks Avenue
Nashville, TN 37243
(615) 741-7959
Ethics.Counsel@tn.gov

INSTRUCTIONS: Please see the attached instructions before completing this form. **This form must be filed with the Tennessee Ethics Commission.**

This form must be filed annually for each office and/or position held. In addition, if you seek re-election, or election to a different office, you must file again for each office sought within thirty days (30) from the date of qualifying. The failure to timely and properly submit the required disclosure statement can, under T.C.A. § 3-6-205, result in the imposition of civil penalties in amounts up to ten thousand dollars ($10,000).

Attach additional pages as necessary. Note that this disclosure statement must be signed and the signature attested to by a witness in Item 13. In addition, please be aware that the information listed on this statement will be transferred to an electronic format for posting on the Commission's website, pursuant to T.C.A. § 8-50-501(d)(3).

EFFECTIVE JANUARY 1, 2024, ALL NOTICES SENT BY THE TENNESSEE ETHICS COMMISSION WILL BE SENT BY ELECTRONIC MAIL. EACH CANDIDATE OR OFFICIAL IS REQUIRED TO PROVIDE AN EMAIL ADDRESS TO THE COMMISSION. WHERE EMAIL IS UNAVAILABLE, NOTICE WILL BE PROVIDED BY REGULAR MAIL. YOU ARE RESPONSIBLE FOR REGULARLY CHECKING YOUR INBOX AND/OR MAIL RECEPTACLE. TENN. CODE. ANN. § 4-55-107.

1. Name of Official or Candidate: ______________________________
2. Email (REQUIRED): ______________________________
3. Mailing Address:

____

City: ____________ State: ________ Zip Code: ____________

4. Position Information: Office Holder or New Candidate

County: ____________ Title of Office Held or Sought: ____________

District Number, Municipality, or County Where Office is Located: ____________

5. Sources of Income:

List major source(s) of private (non-governmental) income of more than one thousand dollars ($1,000) for yourself,

your spouse, or a minor child residing with you. "Major sources of private income" include, but are not limited to: offices, directorships, and salaried employments. No dollar amounts need to be stated. Select as many recipients as necessary.

☐ None

Name of Source	Recipients		
	Filer	Spouse	Minor Child
	☐	☐	☐
	☐	☐	☐
	☐	☐	☐

6. Investments:

List any investment by you, your spouse, or a minor child residing with you in any corporation or other business organization in excess of ten thousand dollars ($10,000) or five percent (5%) of the total capital. The name of the corporation or organization must be listed but no dollar amounts or percentages of the investment need be stated.

☐ None

Name of Corporation or Organization	**Held By**		
	Filer	Spouse	Minor Child
	☐	☐	☐
	☐	☐	☐
	☐	☐	☐

7. Lobbying:

List any person, firm, or organization for whom compensated lobbying is done by any associate, your spouse, or a minor child residing with you. Also, list any firm in which you, your spouse, or a minor child residing with you hold any interest for whom compensated lobbying is done. Explain the terms of any such employment, the subject matters lobbied, and/or the measures to be supported or opposed.

☐ None

Name of Lobbyist	**Terms of Employment**	**Subject Matter or Measures**	**Lobbyist Relation to Filer**
			☐ Filer ☐ Spouse ☐ Minor Child ☐ Associate
			☐ Filer ☐ Spouse ☐ Minor Child ☐ Associate

8. Professional Services:

List in general terms (by areas of the client's interests) the entities to which professional services (such as those of an attorney, accountant, or architect) are furnished by you or your spouse.

☐ None

Licensed Profession	**Client Interest**	**Furnished By**	
		Filer	Spouse
		☐	☐
		☐	☐
		☐	☐

9. Retainer Fees:

List any retainer fee you receive from any person, firm, or organization who is in the practice of promoting or opposing, influencing or attempting to influence directly or indirectly, the passage or defeat of any legislation before the Tennessee General Assembly, the legislative committees, or the members thereof.

☐ None

10. Bankruptcy:

List any adjudication of bankruptcy or discharge received in any United States district court within five (5) years of the date of this report.

☐ None

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11. Loans:

List any loan or combination of loans for more than one thousand dollars ($1,000) from the same source made in the previous calendar year to you, your spouse, or a minor child residing with you. *See the attached instructions for the list of loans that should not be disclosed on this report.*

☐ None

Lender Name	**Loan Recipient**		
	Filer	Spouse	Minor Child
	☐	☐	☐
	☐	☐	☐

12. Leadership PACs:

Each member of the Tennessee General Assembly, and each candidate for General Assembly, must disclose the name of any multi-candidate political campaign committee established or controlled by the member or candidate within the immediately preceding five (5) years of the date of the disclosure.

☐ None

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13. Signature: (Must Be Attested to by a Witness)

I understand that, pursuant to T.C.A. § 8-50-507, submitting a disclosure or amendment to a disclosure form which contains false or incomplete information may subject me to the penalties of perjury. The information contained in this disclosure or amendment to a disclosure form is true, complete, and correct to the best of my knowledge, information, and belief.

______________________________ ______________________________

Signature of Official or Candidate Date

I, ______________________________ the undersigned, do hereby witness the above signature,

(Print Name of Witness)

which was signed in my presence.

______________________________ ______________________________

Signature of Witness Date

GENERAL INSTRUCTIONS

The positions listed below are required by the Ethics Reform Act of 2006 to file a Statement Interests (SS-8005) with the Tennessee Ethics Commission. Please make sure you are using the correct form:

- State Election Commission members;
- Tennessee Regulatory Authority directors;
- Supreme Court Justices;
- Justices of the Court of Criminal Appeals;
- Justices of the Court of Civil Appeals;
- Delegates to a constitutional convention called to consider a new constitution or amendments to the Constitution of Tennessee;
- The Attorney General And Reporter;
- The District Attorney General for Each Judicial District;
- The Public Defender for Each Judicial District;
- The Administrative Director of the Courts;
- The Executive Director of the District Attorneys General Conference;
- The State Election Coordinator;
- Members of the Board Of Probation And Parole;
- Members and Executive Director of the Alcoholic Beverage Commission;
- The Chancellor of the Board Of Regents and the President of each college or university governed by the Board of Regents;
- The President of the University of Tennessee, and the Chancellor of each separate branch or campus of the University of Tennessee;
- Members of the Registry Of Election Finance;
- Members of the Tennessee Ethics Commission;
- Each candidate or appointee to a local public office as defined in § 2-10-102;
- Members of any local planning commission; and
- Members of any regional planning commission.

When Must the Statement Be Filed?:

Candidates must file within 30 days of the last day to qualify as a candidate. Current officeholders must file by January 31st of each year. Newly-appointed officeholders must file within 30 days of appointment to office. The appointing authority must send notice to the Commission within 3 days of appointment.

Where Should the Statement Be Filed?:

Electronic filing: To file electronically for the first time, go to https://apps.tn.gov/conflict/, click on "Sign Up," and follow directions to set up a TN.GOV account with your unique authorization code. After setting up your TN.GOV account, go to https://apps.tn.gov/conflict/ and log in as a returning user to file your Statement of Interests. If you have previously filed electronically, go to https://apps.tn.gov/conflict and log in to file your report. If you do not remember your Username and Password, call (629) 888-5870 for technical support, to retrieve that information.

Paper Filing: To file on paper, go to https://www.tn.gov/content/tn/tec/officials-and-candidates/forms-and-publications.html to print the form and instructions. Complete your Statement in its entirely. Mail the completed form to the Tennessee Bureau of Ethics and Campaign Finance, WRS Tennessee Tower, 2nd Floor, 312 Rosa L. Parks Avenue, Nashville, TN 37243. Your Statement of Interests is not considered filed until received by the Commission. If you have questions, please feel free to contact the Commission's office at: (615) 741- 7959 or e-mail us at ethics.counsel@tn.gov.

An amended Statement of Interests must be filed whenever reported conditions change due to a termination or an acquisition of any of those interests that you are required to report.

This report is a public document and will be posted on the internet. Social security numbers, dates of birth, and account numbers are not required and should not be included on your Statement.

SUPPLEMENTAL INSTRUCTIONS

Question 1. Enter the name of the official filing the Disclosure Statement.

Question 2. Enter the home address of the official filing the Disclosure Statement. Also, enter a phone number and a valid electronic mail address if available. It is each filer's responsibility to maintain up-to-date contact information with the Ethics Commission.

Question 3. Enter the mailing address of the official filing the Disclosure Statement. (If the mailing address is the same as the home address, check the box.) It is each filer's responsibility to maintain an up-to-date mailing address with the Ethics Commission and to retrieve and review any items of mail sent to the filer by the Ethics Commission.

Question 4. Check the appropriate box to indicate whether the filer is a current office holder or a candidate for office. List the County in which the official holds a public office or for which the candidate is seeking election to a public office. Enter the title of the Office held or sought by the filer. Enter the District Number, Municipality, or County where the Office held or sought is located.

Question 5. Sources of Income. List major source(s) of private (non-governmental) income of more than one thousand dollars ($1,000) for yourself, your spouse, or a minor child residing with you. "Major sources of private income" include, but are not limited to: offices, directorships, and salaried employments. No dollar amounts need to be stated. Select as many recipients as necessary.

"Private income" refers to any income you, your spouse, or a minor child residing with you receives in excess of $1,000 per annum from a non-governmental source. As a general rule, if the receipts are reportable to the IRS as private income, then they must be listed on the form.

"Private Income" includes, but is not limited to:

- Bank and Bond Interest
- Business Income
- Capital Gains
- Clinical Practice Income
- Income from Employment
- Income from Contractual Relationships
- Directorships
- Dividends from CDs, stocks and securities
- Compensated Fiduciary Positions (trusteeships, conservatorships, etc.)
- Honoraria
- Lecture Fees
- Payments from Annuities, Settlements, etc.
- Rental income
- Research Grants
- Research Foundation Income
- Trust Income (but not the principal which is reported in the investment question)

This list is not exhaustive, but merely exemplary. If you, your spouse, or minor child residing with you have other income that is not listed here but meets the requirements of this section, you are required to list them.

"Private income" does not include monies received directly by inheritance or gift. The term does include the income produced by an investment which has been received by inheritance or gift.

Question 6. Investments List any investment by you, your spouse, or any minor child residing with you in any corporation or other business organization in excess of ten thousand dollars ($10,000) or five percent (5%) of the total capital. The name of the corporation or organization must be listed but no dollar amounts or percentages of the investment need be stated.

1. Do not report holdings that are ten thousand dollars ($10,000) or less in value **OR** five percent (5%) or less of the total capital. If the holding is ten thousand dollars ($10,000) or less in value, but is five percent (5%) or more of the total capital, the holding must be reported.

   If the investment is managed by entities other than yourself, your spouse or a minor child residing with you (such as mutual funds or 401Ks), list the entity managing the account and the type of investment, but not the corporations to which the money has been distributed.

2. Examples of investments that must be listed if held by you, your spouse or minor child residing with you:

   - 401K, 403(b) and 457 plans
   - Annuities
   - Bonds
   - Certificates of Deposit
   - College Savings Programs
   - State Deferred Compensation Plans
   - Estates
   - Stocks and securities
   - IRAs
   - Keogh Plans
   - Limited Liability Corporations(LLCs)
   - Mutual Funds in IRAs
   - Mutual Funds not in IRAs
   - Notes (investments)
   - Pensions
   - Real Estate (but not your primary or secondary residence)
   - Real Estate Investment Trusts (REITs)
   - Retirement Plans for States other than Tennessee
   - TIAA-CREF Supplemental Retirement Plans
   - Treasury Notes
   - Blind Trusts
   - Warrants
   - Zero Coupon Bonds

Question 7. Lobbying Interests. List any person, firm, or organization for whom compensated lobbying is done by any associate, your spouse, or any minor child residing with you. Also, list any firm in which you, your spouse, or any minor child residing with you hold any interest for whom compensated lobbying is done. Explain the terms of any such employment, the subject matters lobbied, and/or the measures to be supported or opposed.

1. If you, your spouse, or minor child residing with you are associated with a compensated lobbyist, you must provide the name(s) of the entities for which the associate lobbies.

2. If you, your spouse, or a minor child residing with you have any interest in any lobbying firm, you must list the name of the entity. Do not report interests in employers of lobbyists, e.g., do not report interests in public corporations or other entities that may engage a lobbyist.

Question 8. Professional Services. List in general terms (by areas of the client's interests) the entities to which professional services are furnished by you or your spouse. For the purpose of this question, you must list the general areas of interests of your clients if you (or your spouse) engage in any profession licensed by the State of Tennessee. You are not, however, required to list your clients or to otherwise furnish personal information about your clients. These professions include, but are not limited to:

Chiropractic	Massage Therapy	Optometry
Dentistry	Medicine	Audiology
Physical Therapy	Midwifery	Nursing
Pharmacology	Podiatry	Architecture
Public Accounting	Veterinary Medicine	Law

This list is not exclusive. If you or your spouse practice a profession that is not listed but that requires a license to practice in the State of Tennessee, you are required to list the general areas of your clients' interests.

Question 9. Retainer Fees. List any retainer fee you receive from any person, firm, or organization who is in the practice of promoting or opposing, influencing, or attempting to influence directly or indirectly, the passage or defeat of any legislation before the Tennessee General Assembly, the legislative committees, or the members thereof.

Question 10. Bankruptcy. List any adjudication of bankruptcy or discharge received in any United States district court within five (5) years of the date of this report.

Question 11. Loans. List any loan or combination of loans for more than one thousand dollars ($1,000) from the same source made in the previous calendar year to you, your spouse, or any minor child residing with you.

Loans need <u>not</u> be disclosed on this report if they are:

1. From your immediate family (spouse, parent, sibling or child);
2. From a federally insured financial institution or made in accordance with existing law in the ordinary course of doing business of making loans. The loan must bear the usual and customary rate of interest, be made on a basis which assures repayment, evidenced by a written instrument and subject to a due date or amortization schedule;
3. Secured by a recorded security interest in collateral, bearing the usual and customary interest rate of the lender made on a basis which assures repayment; evidenced by a written instrument and subject to a due date or amortization schedule;
4. From a partnership in which you have at least ten percent (10%) partnership interest;
5. From a corporation in which more than fifty percent (50%) of the outstanding voting shares are

owned by you or by your immediate family (spouse, parent, sibling or child).

Question 12. Leadership PACs Each member of the Tennessee General Assembly, and each candidate for the Tennessee General Assembly, must disclose the name of any multi-candidate political campaign committee established or controlled by the member or candidate within the immediately preceding five (5) years of the date of the disclosure.

Question 13. Signature Each filer must sign the disclosure statement and acknowledge that the information contained in this statement is submitted under penalty of perjury. The filer's signature must also be attested to by a witness.